

USPTO PTO-1390  
(REV 12-29-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

GOTEP037

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/581058

INTERNATIONAL APPLICATION NO.  
PCT/SE98/02367INTERNATIONAL FILING DATE  
17 December 1998PRIORITY DATE CLAIMED  
18 December 1997TITLE OF INVENTION  
PERCUTANEOUS BONE ANCHORED TRANSFERRING DEVICEAPPLICANT(S) FOR DO/EO/US  
HAKANSSON

EL556132686US

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C.371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(3)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19(35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Notification of Transmittal of International Preliminary  
Examination Report

PCT Request

Notice Informing Applicant of Communication of International  
Application to the Designated Offices

Copy of Published PCT Application No. WO 99/34754

Copy of Formal Drawings

Copy of International Preliminary Examination Report

U.S. APPLICATION NO. (if known, see 37 CFR 1.53)

INTERNATIONAL APPLICATION NO.

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GOTEP037

CALCULATIONS PTO USE ONLY

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO. .... \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 970.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	12 - 20 =	0	X \$18.00	\$	
Independent claims	1 - 3 =	0	X \$78.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$	

**TOTAL OF ABOVE CALCULATIONS =**

\$ 970.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

**SUBTOTAL =**

\$ 970.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 970.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED =**

\$ 970.00

Amount to be  
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 970.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 15-0610. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:



021121

PATENT TRADEMARK OFFICE

SIGNATURE:

Marina T. Larson

NAME

32,038

REGISTRATION NUMBER

09/581058  
GOTEP037US**TRANSMITTAL FORM**

U.S. Application No. : To be assigned  
 Application of: : Hakansson  
 Filing Date : 08 June 2000  
 Title : Percutaneous Bone Anchored  
 Transferring Device  
 Attorney Docket No. : GOTEP037US

**Enclosures:**

- Transmittal Letter 371
- Preliminary Amendment (1 pg.)
- Check No. 6559 for \$840.00
- Notification of Transmittal of IPER
- Copy of IPER
- PCT Request
- Notice Informing Applicant of Communication of  
International Application to Designated Offices
- Copy of Published PCT Application No. WO 99/34754
- Copy of Formal Drawings

Date: June 8, 2000

*Marina T. Larson*

Marina T. Larson, Reg. No. 32,038  
 Carl Oppedahl, Reg. No. 32,746  
 OPPEDAHL & LARSON LLP  
 P.O. Box 5068  
 Dillon, CO 80435-5088  
 Tel: 970-468-6600  
 Fax: 970-468-0104

**CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10**

"Express Mail" Mailing Label No. EL556132686US

Date of Deposit June 8, 2000. I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to:

Box PCT RO/US  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

Susan Howard  
 (Type or print Name of person mailing paper or fee)

*Susan Howard*

(Signature of person mailing paper or fee)

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